

Robust Conversion from Matrix to Axis Angle Form

BY FAN JIANG

1 Introduction

Robust conversion from matrix to axis-angle form is not trivial because the transform is plagued with numeric instabilities. In this document we will review the normal form of such a conversion, and provide detailed instructions on how to properly do it.

1.1 Basic Conversion

It is known that the conversion from a $SO(3)$ matrix can be written as

Theorem 1. *The rotation angle θ can be calculated as*

$$\theta = \arccos\left(\frac{\text{tr}(R) - 1}{2}\right) \quad (1)$$

where $\text{tr}(R)$ is the trace of the rotation matrix.

As such, the normalized rotation axis is:

Theorem 2. *The normalized rotation axis for a rotation matrix R is*

$$\omega = \frac{\theta}{2 \sin(\theta)} \begin{pmatrix} R_{3,2} - R_{2,3} \\ R_{1,3} - R_{3,1} \\ R_{2,1} - R_{1,2} \end{pmatrix} \quad (2)$$

where $R_{i,j}$ are the elements in the matrix.

2 Problem

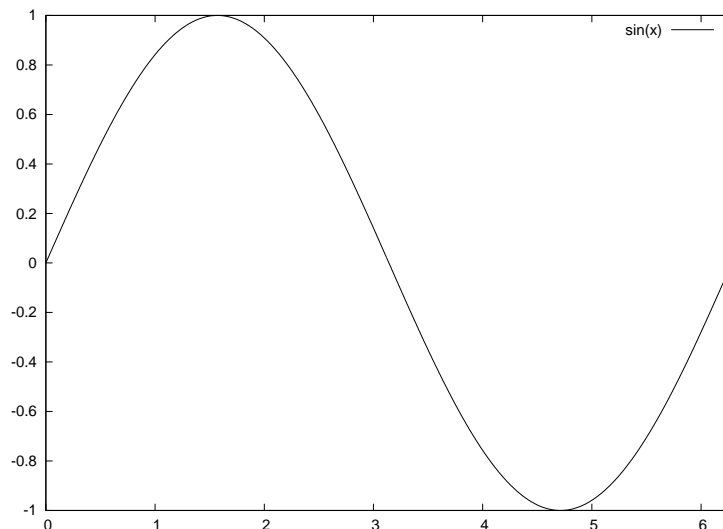


Figure 1. $\sin(x)$

It's pretty straightforward that when $\theta \rightarrow 0 + k\pi$, (2) will not work because $\sin(\theta)$ will be 0. From (1) we know that, since $\theta = k\pi$,

$$\begin{aligned} \arccos\left(\frac{\text{tr}(R) - 1}{2}\right) &= k\pi \\ \frac{1}{2}(\text{tr}(R) - 1) &= \pm 1 \\ \text{tr}(R) &= 1 \pm 2 \end{aligned} \tag{3}$$

Thus we know that there are two singular points, -1 and 3 , for the axis-angle conversion. Next thing is how to deal with these two points.

3 Properties of the SO(3) transform at the singular points

3.1 $\theta \rightarrow 0$

For $\theta = 0$ ($\text{tr}(R) = 3$) the solution is simple: We simply take the Taylor expansion of

$$\frac{\theta}{2 \sin(\theta)}$$

at $\theta \rightarrow 0$. Note this is not a simple Taylor expansion, but a limit. We can do this in Maxima:

```
Maxima 5.45.1 https://maxima.sourceforge.io
using Lisp SBCL 2.1.9
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Dedicated to the memory of William Schelter.
The function bug_report() provides bug reporting information.
```

```
(%i1)  $\theta: \text{acos}\left(\frac{t-1}{2}\right)$ 
```

```
(%o1)  $\arccos\left(\frac{t-1}{2}\right)$ 
```

```
(%i2) mag:  $\frac{\theta}{2 \sin(\theta)}$ 
```

```
(%o2)  $\frac{\arccos\left(\frac{t-1}{2}\right)}{2 \sqrt{1 - \frac{(t-1)^2}{4}}}$ 
```

```
(%i3) taylor(mag, t, 3, 3)
```

```
(%o3)  $\frac{1}{2} - \frac{t-3}{12} + \frac{(t-3)^2}{60} - \frac{(t-3)^3}{280} + \dots$ 
```

Thus the solution around $\theta \rightarrow 0$ is

$$\frac{1}{2} - \frac{t-3}{12} + \frac{(t-3)^2}{60} \tag{4}$$

3.2 $\theta \rightarrow \pi$

The solution becomes a lot more complicated when at $\theta \rightarrow \pi$. This is because at this extreme point you have two solutions: you can go from both left and right of the unit circle!

Note 3. The trace $\text{tr}(R) = -1$ is negative.

In this case we can first convert R to quaternion, which is done by

$$(w, v) = \begin{pmatrix} \frac{1}{2r}(R_{c,b} - R_{b,c}) \\ \frac{1}{2}r \\ \frac{1}{2r}(R_{a,b} + R_{b,a}) \\ \frac{1}{2r}(R_{c,a} + R_{a,c}) \end{pmatrix} \quad (5)$$

where $r := \sqrt{1 + R_{a,a} - R_{b,b} - R_{c,c}}$, $a := \arg \max_{i \in \{1,2,3\}} R_{i,i}$, $b := (a + 1) \bmod 3$, $c := (a + 2) \bmod 3$.

Normally we want the angle $\theta \in [0, \pi]$, thus we need to make sure that $w > 0$,

and now we can easily get the angle θ as

$$2 \operatorname{atan2}\left(\sqrt{q_x^2 + q_y^2 + q_z^2}, w\right) \quad (6)$$

and now the magnitude of the vector is

$$|v| := \frac{\theta}{\sin(\theta/2)} \quad (7)$$

However, in GTSAM, we do the following simplification to avoid doing the $\operatorname{atan2}$ (expensive).

First note that $q_x^2 + q_y^2 + q_z^2 + w^2 = 1$. Thus we have (around $w = 0$) this Taylor expansion:

```
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(%i1)  $\theta: 2 \operatorname{atan2}(1 - w, w)$ 
(%o1)  $-2 \operatorname{atan2}(w - 1, w)$ 
(%i2)  $\text{scale: } \theta / \sin(\theta / 2)$ 
(%o2)  $\frac{2 \operatorname{atan2}(w - 1, w) \sqrt{w^2 + (w - 1)^2}}{w - 1}$ 
(%i3)  $\text{taylor}(\text{scale}, w, 0, 3)$ 
(%o3)  $\pi - 2w + \frac{(\pi - 4)w^2}{2} + \frac{(3\pi - 7)w^3}{3} + \dots$ 
```

which indicates that we only need a correction term of $\pi - 2w$ to get the true magnitude near π .